

**Annexure – B**

**Investor Complaints Data- Stock Broker**

Data for every month ending 31<sup>ST</sup> January 2026.

S. No	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved	Pending at the end of the month**		Average Resolution time
						Pending for less than 3 months	Pending for more than 3 months	
<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b>	<b>6</b>	<b>7</b>	<b>8</b>	
2	Directly from Investors	0	0	0	0	0	0	0
3	SEBI (SCORES 2.0)	0	0	0	0	0	0	0
4	Stock Exchanges	0	0	0	0	0	0	0
5	Other Sources (if any)	0	0	0	0	0	0	0
6	Grand Total	0	0	0	0	0	0	0

**Trend of monthly disposal of complaints**

Sr.No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	April- 2025	0	0	0	0
2	May -2025	0	0	0	0
3	June -2025	0	0	0	0
4	July- 2025	0	0	0	0
5	August-2025	0	0	0	0
6	Sept -2025	0	0	0	0
7	Oct -2025	0	0	0	0
8	Nov -2025	0	0	0	0
9	Dec -2025	0	0	0	0
10	Jan- 2026	0	0	0	0
11	Feb-2026				
12	March-2026				
13	Grand Total	0	0	0	0

**Trend of annual disposal of complaints -Broking**

S. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	<b>2021-22</b>	0	0	0	0
2	<b>2022-23</b>	0	0	0	0
3	<b>2023-24</b>	0	0	0	0
4	<b>2024-25</b>	0	0	0	0
5	<b>2025-26</b>	0	0	0	0
	<b>Grand Total</b>	0	0	0	0

**Annexure - C**

**Investor Complaints Data- Depository Participants**

Data for every month ending 31<sup>ST</sup> January 2026

S. No	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved	Pending at the end of the month**		Average Resolution time^
						Pending for less than 3 months	Pending for more than 3 months	
<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b>	<b>6</b>	<b>7</b>	<b>8</b>	
2	Directly from Investors	0	0	0	0	0	0	0
3	SEBI (SCORES)	0	0	0	0	0	0	0
4	Depositories	0	0	0	0	0	0	0
5	Other Sources (if any)	0	0	0	0	0	0	0
6	<b>Grand Total</b>	0	0	0	0	0	0	0

**Trend of monthly disposal of complaints**

S. No.	Year	Carried forward from previous month	Received	Resolved	Pending
1	April- 2025	0	0	0	0
2	May -2025	0	0	0	0
3	June -2025	0	0	0	0
4	July- 2025	0	0	0	0
5	August-2025	0	0	0	0
6	Sept -2025	0	0	0	0
7	Oct -2025	0	0	0	0
8	Nov -2025	0	0	0	0
9	Dec -2025	0	0	0	0
10	Jan- 2026	0	0	0	0
11	Feb-2026				
12	March-2026				
	<b>Grand Total</b>	0	0	0	0

**Trend of annual disposal of complaints -DP**

S. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	<b>2017-18</b>	0	0	0	0
2	<b>2018-19</b>	0	0	0	0
3	<b>2019-20</b>	0	0	0	0
4	<b>2020-21</b>	0	0	0	0
5	<b>2022-23</b>	0	0	0	0
6	<b>2023-24</b>	0	0	0	0
7	<b>2024-25</b>	0	1	1	0
8	<b>2025-26</b>	0	0	0	0
	<b>Grand Total</b>	0	1	1	0